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to be used for all correspondence after initial filing)

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		PTO/SB/21 (04-04)
Application Number	09/888,261	
Filing Date	June 21, 2001	
First Named Inventor	NIKOLSKIY, SERGEY	
Art Unit	3732	
Examiner Name	Unassigned	.
Attorney Docket Number	018563-003410US	

							
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		SIGNA	TURE	OF APPLICANT, ATTORNEY,	OR AGE	NT	
Firm Townsend and Townsend and Crew LLP Individual name James M. Heslin Reg. No. 29,541				29,541			
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On A (B o)

TOWNSEND and TOWNSEND and CREW LLP

Tiffany Wu

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SERGEY NIKOLSKIY et al.

Application No.: 09/888,261

Filed: June 21, 2001

For: EFFICIENT DATA

REPRESENTATION OF TEETH

MODEL

Examiner: Unassigned

Art Unit: 3732

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Electronic copies of the references are enclosed on 1 CD-ROM disk.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

The references cited in the accompanying PTO/SB/08A and PTO/SB/08B, were indentified by either or both parties in litigation between Align Technology, Inc., assignee of the above-referenced patent application, and Ormco Corporation in case no. SACV 03-16-GLT

tooth positions for orthodontic treatment.

Page 2

(ANX) before the U.S. District Court, Central District of California, Southern Division, as being potentially relevant to the design, fabrication, and/or use of removable orthodontic appliances and/or to the use of computers or other automatic means for calculating final or intermediate

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin Reg. No. 29,541

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

JMH:tfw 60300946 v1

Substitute for form 1449AN Complete if Known **Application Number** 09/888,261 INFORMATION DISCLOSURE June 21, 2001 Filing Date STATEMENT BY APPLICANT First Named Inventor NIKOLSKIY, SERGEY 3732 Art Unit (use as many sheets as necessary) **Examiner Name** Unassigned of 10 Attorney Docket Number 018563-003410US Sheet

			U.S. PATENT D	OCUMENTS+		
		Document Number	Dublication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Examiner Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	AA	2,467,432	04-19-1949	Kesling		
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	BN	5,139,419	08-18-1992	Andreiko et al.		
	во	5,184,306	02-02-1993	Erdman et al.		
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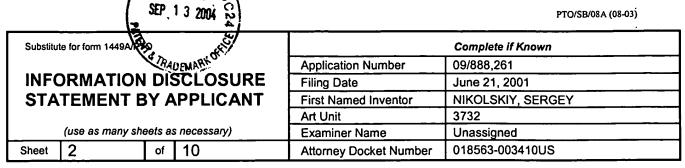
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			U.S. PATENT D	OCUMENTS+	
Examiner Initials*	Cite No.1	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	BQ	5,257,203	10-26-1993	Riley et al.	
	BR ·	5,273,429	12-28-1993	Rekow et al.	
	BS	5,278,756	01-11-1994	Lemchen et al.	
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			Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶			
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	cw	EP	490,848	B1	06-17-1992	Andersson et al.		
****	СХ	EP	541,500	A1	06-12-1998	Andersson et al.		

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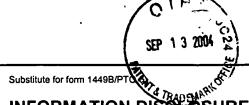
INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	09/888,261	
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First Named Inventor	NIKOLSKIY, SERGEY	
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Attorney Docket Number	018563-003410US	

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Initials*				Applicant of Cited Document	Passages or Relevant Figures Appear	T⁵		
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INFORMATION DISCLOSURE
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		NON PATENT LITERATURE DOCUMENTS	
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Examiner Date Signature Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item		
Examiner Initials *	Cite No. ¹	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т	
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	EN	DEFRANCO et al., "Three-Dimensional Large Displacement Analysis of Orthodontic Appliances," J. Biomechanics, Vol. 9 (1976), pp. 793-801.	
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Substitute for form 1449B/PTO Complete if Known Application Number 09/888,261 INFORMATION DISCLOSURE Filing Date June 21, 2004 STATEMENT BY APPLICANT NIKOLSKIY, SERGEY First Named Inventor Art Unit 3732 (use as many sheets as necessary) Unassigned Examiner Name 018563-003410US Attorney Docket Number Sheet 10

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10

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